| FORM | 4 |
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|-----------------------|---|
| Check this box if no | |
| longer subject to | |
| Section 16. Form 4 or | • |
| Form 5 obligations | |
| may continue. See | |
| Instruction 1(b). | |

(Print or Type Per

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Armstrong Stevan | 2. Issuer Name SG BLOCKS | | | υ. | ymbol | Director (Check all | | | | |
|--|--|--|--|----|---------------|--|---|-------------------------|----------------------------------|----------------------------------|
| (Last) (First) C/O SG BLOCKS, INC.,, 19 STREET, 14TH FLOOR | (Middle) 95 MONTAGUE | 3. Date of Earliest Transaction (Month/Day/Year) 10/01/2021 | | | | | X_Officer (give title below)Other (specify | | | |
| (Street) BROOKLYN, NY 11201 | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) | (Zip) | ŗ | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
| 1.Title of Security (Instr. 3) | Date Execution Date, if Transaction (A (Month/Day/Year) any Code (I | | (A) or Disposed of Be (D) Re | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Ownership Form: Direct (D) | Beneficial Ownership | | |
| | | | Code | v | Amount | (A) or (D) | Price | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock | 10/01/2021 | | А | | 40,000 (1) | А | \$ 0 | 91,772 | D | |
| Common Stock | | | | | | | | 606 | I <u>(2)</u> | SMA Development Group, LLC |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 (9-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| - | (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | |
|-------------|--|------------------|--------------------|-------------|------------|---------------------|------------|---------------------|----------------------|----------|-------------|----------------|-------------|-------------|
| 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. | 5. | | 6. Date Ex | ercisable | 7. Tit | tle and | 8. Price of | 9. Number of | 10. | 11. Nature |
| Derivative | Conversion | Date | Execution Date, if | Transaction | n Nu | umber | and Expira | and Expiration Date | | unt of | Derivative | Derivative | Ownership | of Indirect |
| Security | or Exercise | (Month/Day/Year) | any | Code | of | of (Month/Day/Year) | | Underlying See | | Security | Securities | Form of | Beneficial | |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | | erivativ | - | | Securities (Instr. 5 | | (Instr. 5) | Beneficially | Derivative | Ownership |
| | Derivative | | | | | Securities (| | (Instr. 3 and | | | | Security: | (Instr. 4) | |
| | Security | | | | A | equired | | | 4) | | | 0 | Direct (D) | |
| | | | | | · · | .) or | | | | | | 1 | or Indirect | |
| | | | | | Disposed | | | | | | | Transaction(s) | · / | |
| | | | | | | of (D) | | | | | | (Instr. 4) | (Instr. 4) | |
| | | | | | (Instr. 3, | | | | | | | | | |
| | | | | | 4, and 5) | | | | | | | | | |
| | | | | | | | | | | Amount | | | | |
| | | | | | | | Date | Expiration | | or | | | | |
| | | | | | | | Exercisabl | | Title | Number | | | | |
| | | | | | | | | Duit | | of | | | | |
| | | | | Code V | (/ | 4) (D |) | | | Shares | | | | |

Reporting Owners

| | Relationships | | | | | | |
|---|---------------|--------------|--------------------------|-------|--|--|--|
| Reporting Owner Name / Address | | 10% Owner | Officer | Other | | | |
| Armstrong Stevan C/O SG BLOCKS, INC., 195 MONTAGUE STREET, 14TH FLOOR BROOKLYN, NY 11201 | | | Chief Technology Officer | | | | |

Signatures

/s/ Stevan Armstrong 10/04/2021

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a grant of restricted stock units ("RSUs") under the SG Blocks, Inc. Stock Incentive Plan. Each RSU represents the right to receive, upon vesting, one share of (1) the issuer's common stock, par value \$0.01. The RSUs will vest pro rata quarterly over 24 months, subject to the reporting person's continued service as an officer/director of the issuer through such date.

Shares are held by SMA Development Group, LLC, an entity controlled by Mr. Armstrong. Mr. Armstrong specifically disclaims beneficial ownership of the shares of (2) common stock held by SMA Development Group, LLC, except to the extent of his pecuniary interest therein, and this filing shall not be deemed to be an admission that Mr.

Armstrong is the beneficial owner of such shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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