## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	s)															
1. Name and Address of Reporting Person* Blumenfeld Yaniv					2. Issuer Name and Ticker or Trading Symbol SG BLOCKS, INC. [SGBX]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner					
(Last) (First) (Middle) C/O SG BLOCKS, INC.,, 195 MONTAGUE STREET, 14TH FLOOR				3. Da	3. Date of Earliest Transaction (Month/Day/Year) 10/01/2021							r (give title belo	w)		(specify belo	w)	
BROOKLYN,, NY 11201				4. If	4. If Amendment, Date Original Filed(Month/Day/Year)						_X_ Form fil	6. Individual or Joint/Group Filing(Check Applicable Line)  Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip)					Table I - Non-Derivative Securities Acqui						cquired, Dispe	uired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	any	on Date, i	Cod			(A) or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Form: Direct (I	ip Indirect Benefic Owners	Beneficial Ownership		
						ode	V	V Amount (A) or (D) Price		or Indire (I) (Instr. 4)			)				
Common	Stock		10/01/2021			1	A		11,834 (1)	A	\$ 0	32,181			D		
Common Stock 10/01/2021					A		25,000 (2)	Α	\$ 0	25,000			Ι	Held be a substitution of the substitution of			
Reminder:	Report on a s	separate line	e for each class of se	- Deriv	ative Secu	ırities	Acqu	Pe co th	ersons whontained in the form diese disposed	ho res in this splay of, or	s form s a cu Benefi	to the collector are not requiremently valid	ired to res	pond	unless	SEC 14	74 (9-02)
Security	2. Conversion or Exercise Price of Derivative Security		Execution any	3A. Deemed Execution Date, if		5. Number of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6 an (I	. Date Exer nd Expirati Month/Day	rcisabl ion Da v/Year)	e tte 1	7. Title and Amount of Underlying Securities (Instr. 3 and 4) Amount	Title and nount of nderlying curities astr. 3 and Security (Instr. 5)  8. Price of 9. Derivative Do Security (Instr. 5)  8. Price of 9. Derivative Do Security (Instr. 5)  8. Price of 9. Derivative Do Security (Instr. 5)		vative Curities Feficially Ed Sowing Dorted osaction(s)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	V (A	(E	Е	ate xercisable	Expir Date		Title Number of Shares					

### **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Blumenfeld Yaniv C/O SG BLOCKS, INC., 195 MONTAGUE STREET, 14TH FLOOR BROOKLYN,, NY 11201	X					

#### **Signatures**

/s/ Gerald Sheeran, Attorney-in-Fact	10/04/2021	
**Signature of Reporting Person	Date	

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a grant of restricted stock units ("RSUs") under the SG Blocks, Inc. Stock Incentive Plan. Each RSU represents the right to receive, upon vesting, one share of (1) the issuer's common stock, par value \$0.01. The RSUs will vest monthly over one year and, if earlier, in full on the date of the issuer's 2022 Annual Meeting of Stockholders, subject to the reporting person's continued service as a director of the issuer through such date.
- Represents a grant of restricted stock units ("RSUs") under the SG Blocks, Inc. Stock Incentive Plan. Each RSU represents the right to receive, upon vesting, one share of (2) the issuer's common stock, par value \$0.01. The RSUs will vest pro rata quarterly over 24 months, subject to the reporting person's wife's continued service through such

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.