SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNE

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	OMB Number:	3235-0287			
	Estimated average burden				
ERSHIP	hours per response:	0.5			

OMB APPROVAL

1. Name and Address of Reporting Person* Safina Joseph					2. Issuer Name and Ticker or Trading Symbol SG BLOCKS, INC, [SGBX]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 11/18/2022									Officer (g below)	jive title		Other (below)	specify	
BUILDING 10	1 A A A A A A A A A A A A A A A A A A A	5011 GATE PAF 100	KKWAY,		4. lf A	If Amendment, Date of Original Filed (Month/Day/Year)					6. Indi X	hdividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				,			
(Street) JACKSONVII (City)	LLE, FL (State)	32 (Zi	2256 (p)												Form file	d by More	than C	One Reportir	ig Person
		Ta	ble I - No	n-Der	ivativ	e Se	ecuritie	s Acq	uired, C	Disp	osed of	, or l	Benefi	cially Ov	vned				
Date				?. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Code (Instr.		ies Acquired (A) or Of (D) (Instr. 3, 4 ar			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount		(A) or (D)	Price	1				(mour ly	
Common Stock	Common Stock 11/1				/18/2022				Α		20,000(1)		Α	\$ <mark>0</mark>	31,834			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Yea		te	Secu Deriv	7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti	e s Illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code V		(A)	(A) (D)		Date Exercisable		or Nu		Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

1. Represents a grant of restricted stock units ("RSUs") under the SG Blocks, Inc. Stock Incentive Plan. Each RSU represents the right to receive, upon vesting, one share of the issuer's common stock, par value \$0.01. The RSUs will vest pro rata quarterly over 24 months, subject to the reporting person's continued service as a director of the issuer through such date.

/s/ Joseph Safina

** Signature of Reporting Person

11/22/2022 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.